



Regulatory and Certification documents package

Regulatory Model Number: [STL028](#)

Series Name(s):

Internal Name: [Summit SAS](#)

Date Comments:

Nov 12, 2024 Package generated.

Contents:

- Declaration of Similarity
- Canada ICES, FCC - DoC
- CB Certificate(s)
- CE DoC (Declaration of Conformity)
- CE, FCC CoT (Certificate of Test)
- Korea RRL Certificate
- Korea - CoT (Certificate of Test)
- Morocco DoC (Declaration of Conformity)
- RCM Certificate
- UL/cUL safety
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- Taiwan BSMI certificate
- Taiwan CoT (Certificate of Test)
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Author: Regulatory Assurance



Regulatory Model Number (RMN) STL028 Statement of Similarity

Tested model
ST22000NM004H

Models added by Similarity:

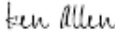
ST28000NM004H, ST10000NM022H, ST22000NM007H

512E* Self-Encrypting ISE Models: ST24000NM007H, ST20000NM007H, ST16000NM007H, ST12000NM007H

512E* SED Models: ST24000NM005H, ST20000NM005H, ST16000NM005H, ST12000NM005H

512E* SED-FIPS Models: ST24000NM006H, ST20000NM006H, ST16000NM006H, ST12000NM009H

The regulatory model number STL028 is a Hard Disc Drive (HDD). It is designed for internal integration into products with a SAS interface. The HDD is available with a variety of endurance levels and other features that may be offered. User capacity, endurance features and data security options are determined by the firmware. All models, regardless of these various features and configurations, are physically and electrically identical.

DocuSigned by:

Ken Allen
Vice President
Operations and Technology



Declaration of Conformity

According to FCC 47 CFR Part 15 of the FCC Rules, and ICES-003.

For the following product:

Internal Product Name: Summit SAS
Brand Name: Seagate
Regulatory Model Number: STL028
Seagate internal Model Number:

We hereby declare:

This device complies with Part 15 of the FCC Rules.

Operation is subject to the following two conditions:

1. This device may not cause harmful interference, and
2. This device must accept any interference received, including interference that may cause undesired operation.

This Class B digital apparatus complies with Canadian ICES-003, Issue 7

Standards:

FCC 47 CFR Part 15, Subpart B, Class B (U.S.A, FCC)
ICES-003, Issue 7, Class B (Canada)

Test report: SEAG0342.0

Responsible Party for Compliance:

Seagate Technology LLC
47488 Kato Road, Fremont, CA 94538
United States of America

DocuSigned by:

Ken Allen

Vice President of Operations, Products and Technology

Date: April 21, 2023



Ref. Certif. No.

US-41864-UL

IEC SYSTEM FOR MUTUAL RECOGNITION OF TEST CERTIFICATES FOR ELECTRICAL EQUIPMENT (IECEE) CB SCHEME

CB TEST CERTIFICATE

Product	Hard Disk Drive
Name and address of the applicant	Seagate Technology HDD (India) Private Limited LGF to 3rd Floor, Wing 1, Cluster E, EON FTZ, Plot No.1, S.No. 77, Kharadi, MIDC Knowledge Park, Pune Maharashtra 411014 India
Name and address of the manufacturer	SEAGATE TECHNOLOGY L L C 389 DISC DR LONGMONT, CO 80503-9364 United States
Name and address of the factory	SEAGATE TECHNOLOGY (THAILAND) LTD 90 MOO 15 A SUNGNEON, T SUNGNEON NAKHON RATCHASIMA 30170 Thailand <input type="checkbox"/> Additional Information on page 2
Note: When more than one factory, please report on page 2	
Ratings and principal characteristics	+5VDC/1.0 A, +12VDC/0.72 A (ratings are optional, no direct connection to mains)
Trademark / Brand (if any)	Seagate 
Customer's Testing Facility (CTF) Stage used	
Model / Type Ref.	STL027, STL028
Additional information (if necessary may also be reported on page 2)	Additionally evaluated to: EN IEC 62368-1:2020, EN IEC 62368-1:2020/A11:2020; National Differences specified in the CB Test Report. <input type="checkbox"/> Additional Information on page 2
A sample of the product was tested and found to be in conformity with	IEC 62368-1:2018
As shown in the Test Report Ref. No. which forms part of this Certificate	E106814-A6045-CB-1 issued on 2023-04-06

This CB Test Certificate is issued by the National Certification Body



UL Solutions (US), 333 Pfingsten Rd IL 60062, Northbrook, USA
 UL Solutions (Denko), Borupvang SA DK-2750 Ballerup, DENMARK
 UL Solutions (JP), Marunouchi Trust Tower Main Building 6F, 1-8-3 Marunouchi, Chiyoda-ku, Tokyo 100-0005, JAPAN
 UL Solutions (CA), 7 Underwriters Road, Toronto, M1R 3B4 Ontario, CANADA

For full legal entity names see www.ul.com/nbcnames

Date: 2023-04-06

Signature:

Jolanta M. Wroblewska




Ref. Certif. No.

US-41867-UL

IEC SYSTEM FOR MUTUAL RECOGNITION OF TEST CERTIFICATES FOR ELECTRICAL EQUIPMENT (IECEE) CB SCHEME

CB TEST CERTIFICATE

Product	Hard Disk Drive
Name and address of the applicant	Seagate Technology HDD (India) Private Limited LGF to 3rd Floor, Wing 1, Cluster E, EON FTZ, Plot No.1, S.No. 77, Kharadi, MIDC Knowledge Park, Pune, Maharashtra 411014 India
Name and address of the manufacturer	SEAGATE TECHNOLOGY L L C 389 DISC DR LONGMONT, CO 80503-9364 United States
Name and address of the factory	SEAGATE TECHNOLOGY (THAILAND) LTD 90 MOO 15 A SUNGNEON, T SUNGNEON NAKHON RATCHASIMA 30170 Thailand <input type="checkbox"/> Additional Information on page 2
Note: When more than one factory, please report on page 2	
Ratings and principal characteristics	+5VDC/1.0 A, +12VDC/0.72 A (ratings are optional, no direct connection to mains)
Trademark / Brand (if any)	Seagate 
Customer's Testing Facility (CTF) Stage used	
Model / Type Ref.	STL027, STL028
Additional information (if necessary may also be reported on page 2)	Additionally evaluated to: EN 62368-1:2014, EN 62368-1:2014/A11:2017; National Differences specified in the CB Test Report. <input type="checkbox"/> Additional Information on page 2
A sample of the product was tested and found to be in conformity with	IEC 62368-1:2014
As shown in the Test Report Ref. No. which forms part of this Certificate	E106814-A6044-CB-1 issued on 2023-04-08

This CB Test Certificate is issued by the National Certification Body



UL Solutions (US), 333 Pfingsten Rd IL 60062, Northbrook, USA
 UL Solutions (Denko), Borupvang 5A DK-2750 Ballerup, DENMARK
 UL Solutions (JP), Marunouchi Trust Tower Main Building 6F, 1-8-3 Marunouchi, Chiyoda-ku, Tokyo 100-0005, JAPAN
 UL Solutions (CA), 7 Underwriters Road, Toronto, M1R 3B4 Ontario, CANADA

For full legal entity names see www.ul.com/nbcnames

Date: 2023-04-08

Signature:

Jolanta M. Wroblewska



Ref. Certif. No.

US-41866-UL

IEC SYSTEM FOR MUTUAL RECOGNITION OF TEST CERTIFICATES FOR ELECTRICAL EQUIPMENT (IECEE) CB SCHEME

CB TEST CERTIFICATE

Product	Hard Disk Drive
Name and address of the applicant	Seagate Technology HDD (India) Private Limited LGF to 3rd Floor, Wing 1, Cluster E, EON FTZ, Plot No.1, S.No. 77, Kharadi, MIDC Knowledge Park, Pune, Maharashtra 411014 India
Name and address of the manufacturer	SEAGATE TECHNOLOGY L L C 389 DISC DR LONGMONT, CO 80503-9364 United States
Name and address of the factory	SEAGATE TECHNOLOGY (THAILAND) LTD 90 MOO 15 A SUNGNEON, T SUNGNEON NAKHON RATCHASIMA 30170 Thailand <input type="checkbox"/> Additional Information on page 2
Note: When more than one factory, please report on page 2	
Ratings and principal characteristics	+5VDC/1.0 A, +12VDC/0.72 A (ratings are optional, no direct connection to mains)
Trademark / Brand (if any)	Seagate 
Customer's Testing Facility (CTF) Stage used	
Model / Type Ref.	STL027, STL028
Additional information (if necessary may also be reported on page 2)	National Differences specified in the CB Test Report. <input type="checkbox"/> Additional Information on page 2
A sample of the product was tested and found to be in conformity with	IEC 60950-1:2005, IEC 60950-1:2005/AMD1:2009, IEC 60950-1:2005/AMD2:2013
As shown in the Test Report Ref. No. which forms part of this Certificate	E106814-A6043-CB-1 issued on 2023-04-06

This CB Test Certificate is issued by the National Certification Body



- UL Solutions (US), 333 Pfingsten Rd IL 60062, Northbrook, USA
- UL Solutions (Denko), Boruovano SA DK-2750 Ballerup, DENMARK
- UL Solutions (JP), Marunouchi Trust Tower Main Building 6F, 1-8-3 Marunouchi, Chiyoda-ku, Tokyo 100-0005, JAPAN
- UL Solutions (CA), 7 Underwriters Road, Toronto, M1R 3B4 Ontario, CANADA

For full legal entity names see www.ul.com/inchnames

Date: 2023-04-06

Signature:

Jolanta M. Wroblewska



EU DECLARATION OF CONFORMITY

TYPE OF EQUIPMENT: Hard Disc Drive
REGULATORY MODEL: STL028
PRODUCT NAME (Internal): Summit SAS
SEAGATE MODELS:
TRADE/BRAND NAME: Seagate

I. Product Safety and EMC Compliance

- A. The product(s) meets the requirements of the Electromagnetic Compatibility (EMC) Directive 2014/30/EU by application of the following standards:

EN 55032:2015	Electromagnetic compatibility of multimedia equipment — Emission requirements.
EN 55035:2017	Electromagnetic compatibility of multimedia equipment — Immunity requirements.
EN 61000-3-2:2014	Electromagnetic compatibility (EMC) - Part 3-2: Limits for harmonic current emissions (equipment input current \leq 16 A per phase).
EN 61000-3-3:2013	Electromagnetic compatibility (EMC) - Part 3-3: Limitation of voltage changes, voltage fluctuations and flicker in public low-voltage supply systems, for equipment with rated current \leq 16 A per phase.

- B. The product(s) meets the requirements of The Low Voltage Directive (LVD) 2014/35/EU by application of the following standards:

EN 60950-1:2006 /A11:2009 /A1:2010 A12:2011/A2:2013	Information Technology Equipment - Safety- (Second Edition) Part 1: General Requirements
EN 62368-1:2014/A11:2017	Audio/video, information and communication technology equipment - Part 1: Safety requirements (IEC 62368-1:2014, Modified)
EN 62368-1:2020+ A11:2020	Audio/video, information and communication technology equipment - Part 1: Safety requirements

II. Product Environmental Compliance (EU/China)

- A. The product(s) meets the requirements of the Directive 2011/65/EU RoHS “Recast” (RoHS 2) as amended by Directive (EU) 2015/863 and further amended by Directive 2018/739 and Directive 2018/740 and by application of the following standards:

EN IEC 63000:2018	Technical documentation for the assessment of electrical and electronic products with respect to the restriction of hazardous substances.
EN 62321-6:2015	Determination of certain substances in electrotechnical products. Polybrominated biphenyls and polybrominated diphenyl ethers in polymers by gas chromatography-mass spectrometry (GC-MS).
China RoHS	Management Methods for Controlling Pollution by Electronic Information Products, Ministry of Information Industry Order No. 39 (China RoHS)
China RoHS 2	Management Methods for the Restriction of the Use of Hazardous Substances in electrical and Electronic Products, Ministry of Industry and Information Technology Order No. 32 effective July 1, 2016 (China RoHS 2)

- B. Seagate products rely on the following RoHS 2 exemptions for compliance:

6(a)-I	Lead as an alloying element in steel for machining purposes containing up to 0.35% lead by weight and in batch hot dip galvanised steel components containing up to 0.2% lead by weight
6(b)-II	Lead as an alloying element in aluminum for machining purposes up to 0.4% lead by weight
6(c)	Copper alloy up to 4% lead by weight
7(a)	Lead in high melting temperature type solders (i.e. lead-based solder alloys containing 85 % by weight or more lead)
7(c)-I	Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors (e.g. piezoelectric devices) or in a glass or ceramic matrix compound

III. Due Diligence

- A. For parts and materials in Seagate products procured from external suppliers, we rely on the representations of our suppliers regarding the presence of RoHS 2 substances in these parts and materials. Our supplier contracts require compliance with our chemical substance restrictions, and our suppliers document their compliance with our requirements by providing material content declarations for all parts and materials for Seagate products. Current supplier declarations include disclosure of any substances regulated by RoHS 2 in such parts or materials.
- B. Seagate also has internal systems in place to ensure ongoing compliance and all laws and regulations. These systems include standard operating procedures that ensure that product safety, EMC and environmental compliance requirements are followed and an internal auditing process to ensure compliance with all standard operating procedures.

Year to Begin Affixing Mark: 2023
Manufacturer's Name: Seagate Technology, LLC
Manufacturer's Address: 47488 Kato Road
Fremont, California 94538, U.S.A

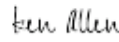
European Contact: Seagate Technology (Netherlands) B.V.
Tupolevlaan 105
1119 PA Schiphol – Rijk
The Netherlands

This product or products are in conformity with the relevant Union harmonization legislation. This declaration of conformity is issued under the sole responsibility of Seagate Technology, LLC.

Date of Issue: Apr 21, 2023

Signature:

DocuSigned by:



Ken Allen
Vice President of Operations, Products and
Technology

CERTIFICATE OF TEST



Last Date of Test: April 20, 2023
Seagate Technology LLC
EUT: STL028 (SAS)

Emissions

Standards

Specification	Method
AS/NZS CISPR 32:2015+A1:2020 Class B	AS/NZS CISPR 32:2015+A1:2020
EN 55032:2015 +A11:2020 +A1:2020 Class B	CISPR 32:2015+A1:2019
EN 61000-3-2:2014	IEC 61000-3-2:2018
EN 61000-3-3:2013	IEC 61000-3-3:2013 +A1:2017
FCC 15.107:2022 Class B	
FCC 15.109:2022 Class B	ANSI C63.4:2014
ICES-003 Issue 7:2020 Class B	
VCCI-CISPR 32:2016 Class B	CISPR 32:2015+A1:2019

Results

Test Description	Result	Comments
Radiated Emissions	Pass	
Radiated Emissions High Frequency	Pass	
Conducted Emissions	Pass	
Telecom Conducted Emissions	Pass	
Harmonic Current Emissions	Pass	
Voltage Fluctuations and Flicker	Pass	

Deviations From Test Standards

None

Approved By:

James Morris, Operations Manager

Product compliance is the responsibility of the client; therefore, the tests and equipment modes of operation represented in this report were agreed upon by the client, prior to testing. The results of this test pertain only to the sample(s) tested. The specific description is noted in each of the individual sections of the test report supporting this certificate of test. This report reflects only those tests from the referenced standards shown in the certificate of test. It does not include inspection or verification of labels, identification, marking or user information. As indicated in the Statement of Work sent with the quotation, Element's standard process is to always use the latest published version of the test methods even when earlier versions are cited in the test specification. Issuance of a purchase order was de facto acceptance of this approach. Otherwise, the client would have advised Element in writing of the specific version of the test methods they wanted applied to the subject testing.

CERTIFICATE OF TEST



Last Date of Test: April 20, 2023
Seagate Technology LLC
EUT: STL028 (SAS)

Immunity

Standards

Specification	Method
EN 55035:2017+A11:2020	IEC 61000-4-2:2008
	IEC 61000-4-3:2020
	IEC 61000-4-4:2012
	IEC 61000-4-5:2014 +A1:2017
	IEC 61000-4-6:2013
	IEC 61000-4-8:2009
	IEC 61000-4-11:2004 + A1:2017

Results

Test Description	Standard Specified Criteria	Observed Result	Comments
Electrostatic Discharge (ESD)	B	A	
Radiated Immunity	A	A	
Electrical Fast Transients and Bursts (EFT)	B	B	
Surge	B	A	
Conducted Immunity	A	A	
Magnetic Field Immunity	A	A	
Voltage Interruptions	C	C	
Voltage Dips	B/C	A/C	

Details on the application of the performance criteria, as well as any manufacturer provided performance criteria or acceptable degradation of performance, are all contained within the report.

Deviations From Test Standards

None

Approved By:

James Morris, Operations Manager

Product compliance is the responsibility of the client; therefore, the tests and equipment modes of operation represented in this report were agreed upon by the client, prior to testing. The results of this test pertain only to the sample(s) tested. The specific description is noted in each of the individual sections of the test report supporting this certificate of test. This report reflects only those tests from the referenced standards shown in the certificate of test. It does not include inspection or verification of labels, identification, marking or user information. As indicated in the Statement of Work sent with the quotation, Element's standard process is to always use the latest published version of the test methods even when earlier versions are cited in the test specification. Issuance of a purchase order was de facto acceptance of this approach. Otherwise, the client would have advised Element in writing of the specific version of the test methods they wanted applied to the subject testing.

방송통신기자재등의 적합등록 필증 Registration of Broadcasting and Communication Equipments	
상호 또는 성명 Trade Name or Registrant	SEAGATE TECHNOLOGY LLC
기자재명칭(제품명칭) Equipment Name	Hard Disc Drive
기기부호/추가 기기부호 Equipment code /Additional Equipment code	IMI
기본모델명 Basic Model	STL028
파생모델명 Series Model	ST28000NM004H, ST10000NM022H, ST12000NM007H, ST16000NM007H, ST20000NM007H, ST22000NM007H, ST24000NM007H
등록번호 Registration No.	R-R-STX-STL028
제조사/제조국가 Manufacturer/Country of	SEAGATE TECHNOLOGY LLC/태국
등록연월일 Date of Registration	2023-05-02
기타 Others	
<p>위 기자재는 「전파법」 제58조의2 제3항에 따라 등록되었음을 증명합니다. It is verified that foregoing equipment has been registered under the Clause 3, Article 58-2 of Radio Waves Act.</p> <p style="text-align: right;">2023년(Year) 05월(Month) 02일(Day)</p> <p style="text-align: center;">국립전파연구원장 </p> <p style="text-align: center;">Director General of National Radio Research Agency</p> <p style="text-align: center; color: red;">※ 적합등록 방송통신기자재는 반드시 "적합성평가표시" 를 부착하여 유통하여야 합니다. 위반시 과태료 처분 및 등록이 취소될 수 있습니다.</p>	



CERTIFICATE OF TEST



Last Date of Test: March 21, 2023
Seagate Technology LLC
EUT: STL028 (SAS)

Emissions

Standards

Specification	Method
KS C 9832 Class B	KS C 9832
Technical Requirements for Electromagnetic Compatibility: NRRA Notice 2022-12 (2022.05.31)	
Test Methods for Electromagnetic Compatibility: NRRA Notice 2022-40 (2022.05.31)	
Notice regarding Conformity Evaluation of Broadcasting and Communication Equipment: NRRA Notice 2023-3 (2023.02.03)	

Results

Test Description	Result	Comments
Radiated Emissions	Pass	
Radiated Emissions High Frequency	Pass	
Conducted Emissions	Pass	
Telecom Conducted Emissions	Pass	

Deviations From Test Standards

None

Approved By:

James Morris, Operations Manager

Product compliance is the responsibility of the client; therefore, the tests and equipment modes of operation represented in this report were agreed upon by the client, prior to testing. The results of this test pertain only to the sample(s) tested. The specific description is noted in each of the individual sections of the test report supporting this certificate of test. This report reflects only those tests from the referenced standards shown in the certificate of test. It does not include inspection or verification of labels, identification, marking or user information. As indicated in the Statement of Work sent with the quotation, Element's standard process is to always use the latest published version of the test methods even when earlier versions are cited in the test specification. Issuance of a purchase order was de facto acceptance of this approach. Otherwise, the client would have advised Element in writing of the specific version of the test methods they wanted applied to the subject testing.

CERTIFICATE OF TEST



Last Date of Test: March 21, 2023
 Seagate Technology LLC
 EUT: STL028 (SAS)

Immunity

Standards

Specification	Method
KS C 9835	KS C 9810-4-2
	KS C 9810-4-3
	KS C 9810-4-4
	KS C 9810-4-5
	KS C 9810-4-6
	KS C 9810-4-8
	KS C 9810-4-11

Technical Requirements for Electromagnetic Compatibility: NRRA Notice 2022-12 (2022.05.31)
 Test Methods for Electromagnetic Compatibility: NRRA Notice 2022-40 (2022.05.31)
 Notice regarding Conformity Evaluation of Broadcasting and Communication Equipment: NRRA Notice 2023-3 (2023.02.03)

Results

Test Description	Standard Specified Criteria	Observed Result	Comments
Electrostatic Discharge (ESD)	B	A	
Radiated Immunity	A	A	
Electrical Fast Transients and Bursts (EFT)	B	B	
Surge	B	A	
Conducted Immunity	A	A	
Magnetic Field Immunity	A	A	
Voltage Interruptions	C	C	
Voltage Dips	B/C	A/C	

Details on the application of the performance criteria, as well as any manufacturer provided performance criteria or acceptable degradation of performance, are all contained within the report.

Deviations From Test Standards

None

Approved By:

James Morris, Operations Manager

Product compliance is the responsibility of the client; therefore, the tests and equipment modes of operation represented in this report were agreed upon by the client, prior to testing. The results of this test pertain only to the sample(s) tested. The specific description is noted in each of the individual sections of the test report supporting this certificate of test. This report reflects only those tests from the referenced standards shown in the certificate of test. It does not include inspection or verification of labels, identification, marking or user information. As indicated in the Statement of Work sent with the quotation, Element's standard process is to always use the latest published version of the test methods even when earlier versions are cited in the test specification. Issuance of a purchase order was de facto acceptance of this approach. Otherwise, the client would have advised Element in writing of the specific version of the test methods they wanted applied to the subject testing.



Morocco Declaration of Conformity

Nom et adresse du producteur:

Seagate Technology LLC
47488 Kato Road,
Fremont, CA 94538
United States

Cette déclaration de conformité est établie sous la responsabilité exclusive de Seagate Technology LLC

Product/device (product, lot, model or series)

Objet de la declaration..... Hard Disc Drive
Modèle réglementaire..... STL028
Type de réglementation LVD/EMC
EMC classe B
Commerce / Nom du fabricant ... Seagate Technology

La présente déclaration de conformité est établie sous la seule responsabilité du producteur

L'objet de la déclaration décrit ci-dessus est conforme à (aux) l'arrêté (s).

- *Arrêté du ministre de l'industrie, du commerce, de l'investissement et de l'économie numérique n° 2574-14 du 29 ramadan 1436 (16 juillet 2015) relatif à la compatibilité électromagnétique des équipements*
- *Arrêté du ministre de l'industrie, du commerce, de l'investissement et de l'économie numérique n° 2573-14 du 29 ramadan 1436(16 juillet 2015) relatif au matériel électrique destiné à être employé dans certaines limites de tension*

Références des normes pertinentes appliquées ou des autres spécifications techniques par rapport auxquelles la conformité est déclarée:



SAFETY: NM EN 60950-1:2006 /A11:2009 /A1:2010 /A12:2011/A2:2013
NM EN 62368-1 2014

EMC: NM EN 55022 2015
NM EN 55024 2015
NM EN 55032 2013
NM EN 61000-3-2 2015
NM EN 61000-3-3 2015

Seagate Technology LLC

Signé par et au nom de

Apr 21, 2023, Cupertino, CA USA

date et lieu d'établissement

DocuSigned by:

Ken Allen

9A458920AC425401

Signé pour et au nom de Seagate Technology LLC

Ken Allen

Nom complet Imprimé

Vice President of Operations, Products and Technology

Position / Titre

Supplier's declaration of conformity



As required by the following Notices:

- > *Radiocommunications (Compliance Labelling - Devices) Notice 2014* made under section 182 of the *Radiocommunications Act 1992*;
- > *Radiocommunications Labelling (Electromagnetic Compatibility) Notice 2017* made under section 182 of the *Radiocommunications Act 1992*
- > *Radiocommunications (Compliance Labelling – Electromagnetic Radiation) Notice 2014* made under section 182 of the *Radiocommunications Act 1992* and
- > *Telecommunications (Labelling Notice for Customer Equipment and Customer Cabling) Instrument 2015* made under section 407 of the *Telecommunications Act 1997*.

Instructions for completion

- > **Do not return this form to the ACMA.** This completed form must be retained by the supplier as part of the documentation required for the compliance records and must be made available for inspection by the ACMA when requested.

Supplier's details (manufacturer, importer or authorised agent)

Company Name (OR INDIVIDUAL)

Seagate Technology Australia Pty. Limited
TRADING AS: Seagate

ACN/ARBN

E806

OR

New Zealand IRDN

--

Street Address (AUSTRALIAN or NEW ZEALAND)

Level 1, 93 George St
PARRAMATTA NSW AUSTRALIA
postcode 2150
Phone: +61 2 83223050

Product details and date of manufacture

Product description – brand name, type, current model, lot, batch or serial number (if available), software/firmware version (if applicable)

Model: STL028
Date of manufacture or importation of the original/modified item: Apr 17, 2023

Compliance – applicable standards and other supporting documents

Evidence of compliance with applicable standards may be demonstrated by test reports, endorsed/accredited test reports, certification/competent body statements.

Having had regard to these documents, I am satisfied the above mentioned product complies with the requirements of the relevant ACMA Standards made under the *Radiocommunications Act 1992* and the *Telecommunications Act 1997*.

List the details of the documents the above statement was made, including the standard title, number and, if applicable, number of the test report/endorsed test report or certification/competent body statement

Australian/New Zealand Standard: AS/NZS CISPR 32 : 2015+A1:2020 Report Number SEAG0342.0

Declaration

I hereby declare that:

1. I am authorised to make this declaration on behalf of the Company mentioned above,
2. the contents of this form are true and correct, and
3. the product mentioned above complies with the applicable above mentioned standards and all products supplied under this declaration will be identical to the product identified above.

Note: Under section 137.1 of the *Criminal Code Act 1995*, it is an offence to knowingly provide false or misleading information to a Commonwealth entity.
Penalty: 12 months imprisonment

<i>David Tran</i> <small>SIGNATURE OF SUPPLIER OR AGENT</small>
<small>PRINT NAME</small> David Tran

<small>POSITION IN ORGANISATION</small> Enterprise Systems Engineer
<small>DATE</small> 23 April 2023

The *Privacy Act 1988* (Cth) (the Privacy Act) imposes obligations on the ACMA in relation to the collection, security, quality, access, use and disclosure of personal information. These obligations are detailed in the Australian Privacy Principles.

The ACMA may only collect personal information if it is reasonably necessary for, or directly related to, one or more of the ACMA's functions or activities.

The purpose of collecting the personal information in this form is to ensure the supplier is identified in the 'Declaration of conformity'. If this Declaration of Conformity is not completed and the requested information is not provided, a compliance label cannot be applied.

Further information on the Privacy Act and the ACMA's Privacy Policy is available at www.acma.gov.au/privacypolicy. The Privacy Policy contains details about how you may access personal information about you that is held by the ACMA, and seek the correction of such information. It also explains how you may complain about a breach of the Privacy Act and how we will deal with such a complaint.

Should you have any questions in this regard, please contact the ACMA's privacy contact officer on telephone on 1800 226 667 or by email at privacy@acma.gov.au.

CERTIFICATE OF COMPLIANCE

Certificate Number UL-CA-2312797-0
Report Reference E106814-A6045-UL
Date 11-Apr-2023

Issued to: SEAGATE TECHNOLOGY L L C
389 DISC DR LONGMONT, CO 80503-9364
United States

This is to certify that representative samples of AZOT8 - Audio/Video, Information and Communication Technology Equipment Certified for Canada - Component
See Addendum Page for Product Designation(s).

Have been evaluated by UL in accordance with the component requirements in the Standard(s) indicated on this Certificate. UL Recognized components are incomplete in certain constructional features or restricted in performance capabilities and are intended for installation in complete equipment submitted for investigation to UL LLC.

Standard(s) for Safety: CSA C22.2 No. 62368-1:19, 3rd Ed., Issue Date: 2019-12-13, Revision Date: 2021-10-22

Additional Information: See the UL Online Certifications Directory at <https://iq.ulprospector.com> for additional information

This Certificate of Compliance indicates that representative samples of the product described in the certification report have met the requirements for UL certification. It does not provide authorization to apply the UL Recognized Component Mark. Only the Authorization Page that references the Follow-Up Services Procedure for ongoing surveillance provides authorization to apply the UL Mark.

Only those products bearing the UL Recognized Component Mark should be considered as being UL Certified and covered under UL's Follow-Up Services.

Look for the UL Recognized Component Mark on the product.



Deborah Jennings-Conner, VP Regulatory Services

UL LLC

Any information and documentation involving UL Mark services are provided on behalf of UL LLC (UL) or any authorized licensee of UL. For questions, please contact a local UL Customer Service Representative at <http://ul.com/about/locations/>



CERTIFICATE OF COMPLIANCE

Certificate Number UL-CA-2312797-0
Report Reference E106814-A6045-UL
Date 11-Apr-2023

This is to certify that representative samples of the product as specified on this certificate were tested according to the current UL requirements

Model	Category Description
STL027	Hard Disk Drive
STL028	Hard Disk Drive



Deborah Jennings-Corner, VP Regulatory Services

UL LLC

Any information and documentation involving UL Mark services are provided on behalf of UL LLC (UL) or any authorized licensee of UL. For questions, please contact a local UL Customer Service Representative at <http://ul.com/about/locations/>



CERTIFICATE OF COMPLIANCE

Certificate Number UL-US-2314633-0
Report Reference E106814-A6045-UL
Date 11-Apr-2023

Issued to: SEAGATE TECHNOLOGY L L C
389 DISC DR LONGMONT, CO 80503-9364
United States

This is to certify that representative samples of AZOT2 - Audio/Video, Information and Communication Technology Equipment - Component
See Addendum Page for Product Designation(s).

Have been evaluated by UL in accordance with the component requirements in the Standard(s) indicated on this Certificate. UL Recognized components are incomplete in certain constructional features or restricted in performance capabilities and are intended for installation in complete equipment submitted for investigation to UL LLC.

Standard(s) for Safety: UL 62368-1, 3rd Ed., Issue Date: 2019-12-13, Revision Date: 2021-10-22

Additional Information: See the UL Online Certifications Directory at <https://iq.ulprospector.com> for additional information

This Certificate of Compliance indicates that representative samples of the product described in the certification report have met the requirements for UL certification. It does not provide authorization to apply the UL Recognized Component Mark. Only the Authorization Page that references the Follow-Up Services Procedure for ongoing surveillance provides authorization to apply the UL Mark.

Only those products bearing the UL Recognized Component Mark should be considered as being UL Certified and covered under UL's Follow-Up Services.

Look for the UL Recognized Component Mark on the product.



Deborah Jennings-Corner, VP Regulatory Services

UL LLC

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CERTIFICATE OF COMPLIANCE

Certificate Number UL-US-2314633-0
Report Reference E106814-A6045-UL
Date 11-Apr-2023

This is to certify that representative samples of the product as specified on this certificate were tested according to the current UL requirements

Model	Category Description
STL027	Hard Disk Drive
STL028	Hard Disk Drive



Deborah Jennings-Conner, VP Regulatory Services

UL LLC

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Product Service

CERTIFICATE

No. B 091950 0114 Rev. 00

Holder of Certificate: **Seagate Technology LLC**
 389 Disc Drive
 Longmont CO 80503
 USA

Certification Mark:



Product: **Hard disk drive**

The product was tested on a voluntary basis and complies with the essential requirements.
 The certification mark shown above can be affixed on the product. It is not permitted to alter the certification mark in any way. In addition, the certification holder must not transfer the certificate to third parties. This certificate is valid until the listed date, unless it is cancelled earlier.
 All applicable requirements of the testing and certification regulations of TÜV SÜD Group have to be complied. For details see: www.tuvsud.com/ps-cert

Test report no.: 092-72186885B-000

Valid until: 2028-04-11

Date, 2023-05-26

(Adrian Rabago Valenzuela)



Product Service

CERTIFICATE

No. B 091950 0114 Rev. 00

Model(s): STL027, STL028**Brand Name:** Seagate**Parameters:**

Input Voltage:	+5 VDC / +12 VDC
Input Frequency:	DC
Input Current:	1.0 A / 0.72 A
Protection Class:	Class III
Degree of Protection:	IPX0
Ambient Temperature:	60°C

Conditions of Acceptability:

- The investigated Pollution Degree is: 2
- The following end-product enclosures are required: Fire
- The unit is intended to be supplied by ES1 source.
- The terminals and connectors have not been evaluated for field wiring.
- The temperature test should be considered in the end-use product.
- If a front bezel is provided it shall comply with the requirements for: Fire Enclosure. It is rated V-1 or better or evaluated per Annex A.2 of the Standard.
- The need for hot surface markings of the enclosure shall be determined in the end product.

Tested according to: EN IEC 62368-1:2020/A11:2020

符合性聲明書
Declaration of Conformity

報驗義務人代碼 Code of the applicant	編號 Number
D33027	2023042401

本符合性聲明書應依商品檢驗法規定備齊相關技術文件後始得簽具
Please check all the related technical documents in accordance with the Commodity Inspection Act before signing the form.

報驗義務人：台灣希捷科技股份有限公司(Seagate Technology Taiwan, Ltd.)

Obligatory Applicant

地址：臺北市松山區復興北路 363 號 14 樓 B 室

Address

電話：+886-2-7752-2400

Telephone

商品中(英)文名稱：硬式磁碟機

Commodity Name

商品型式(或型號)：STL028

Commodity Type (Model)

符合之檢驗標準及版次：CNS 15936:2016 Class B, Section 5 "Marking of presence" of CNS 15663

2013.7)

Standard(s) and version

試驗報告編號：SEAG0342.1 (Element), 23A0396 (EMT)

Test Report Number

試驗室名稱及代號：Element, EMT (Environmental Monitoring and Technologies, INC)

Testing laboratory name and designation number

SL2-IN-E-1152R

符合性聲明檢驗標識及識別號碼：

The form of the DoC marking appears like this



D33027

RoHS

或
or



D33027

RoHS

茲聲明上述商品符合商品檢驗法符合性聲明之規定，若因違反本聲明書所聲明之內容，願意擔負相關法律責任。

I hereby declare that the listed commodity conforms to Declaration of Conformity requirements stipulated in the Commodity Inspection Act. I agree to take any legal obligations should violations against the Declaration of Conformity occur.

Applicant:



(簽章)

The Board Chairman of Seagate Technology Taiwan/Lai Chun Cheong

Obligatory Applicant

(Signature)

中華民國

112

年

4

月

24

日

DATE

(year)

(month)

(day)

CERTIFICATE OF TEST



Last Date of Test: May 23, 2023
Seagate Technology LLC
EUT: STL028 (SAS)

Emissions

Standards

Specification	Method
CNS 15938:2016 Class B	CISPR 32:2015+A1:2019

Results

Test Description	Result	Comments
Radiated Emissions	Pass	
Radiated Emissions High Frequency	Pass	
Conducted Emissions	Pass	
Telecom Conducted Emissions	Pass	

Deviations From Test Standards

None

Approved By:

James Morris, Operations Manager

Product compliance is the responsibility of the client; therefore, the tests and equipment modes of operation represented in this report were agreed upon by the client, prior to testing. The results of this test pertain only to the sample(s) tested. The specific description is noted in each of the individual sections of the test report supporting this certificate of test. This report reflects only those tests from the referenced standards shown in the certificate of test. It does not include inspection or verification of labels, identification, marking or user information. As indicated in the Statement of Work sent with the quotation, Element's standard process is to always use the latest published version of the test methods even when earlier versions are cited in the test specification. Issuance of a purchase order was de facto acceptance of this approach. Otherwise, the client would have advised Element in writing of the specific version of the test methods they wanted applied to the subject testing.



Seagate Technology LLC,
47488 Kato Rd.,
Fremont, CA 94538

ДЕКЛАРАЦІЯ ПРО ВІДПОВІДНІСТЬ	DECLARATION OF CONFORMITY
<i>Об'єкт декларації</i>	<i>Object of the declaration</i> Data storage device
<i>Назва обладнання:</i>	<i>Name of the hardware</i> Hard Disc Drive (HDD)
<i>Тип/модель:</i>	<i>Type/model</i> Regulatory Model Numbers: STL027 STL028
<i>Найменування або торговельна марка:</i>	<i>Name or trademark:</i> Seagate Technology International
<i>Номер партії чи серійний номер: <u>продукція виготовляється серійно</u></i>	<i>Batch number or serial number:</i> <u>mass production</u>
<i>Ідентифікаційні дані:</i>	<i>Identification data:</i> Product label
<i>Виробник:</i>	<i>Manufacturer:</i> Seagate Technology International
<i>Місце виробництва:</i>	<i>Place of manufacture:</i> Thailand, China
<i>Технічні характеристики обладнання:</i>	<i>Hardware specifications:</i> 3.5" form factor Hard Disc Drives, for detailed specifications see product manual
<i>Найменування та адреса виробника або його уповноваженого представника:</i>	<i>Name and address of the manufacturer or its authorized representative:</i> Seagate Technology International 47488 Kato Rd., Fremont, CA 94538, USA
<i>Ця декларація про відповідність видана під виключну відповідальність виробника.</i>	<i>This declaration of conformity is issued under the sole responsibility of the manufacturer.</i>
<i>Об'єкт декларації відповідає вимогам відповідних технічних регламентів:</i>	<i>The object of the declaration meets the requirements of the relevant technical regulations:</i>
- Технічного регламенту обмеження використання деяких небезпечних речовин в електричному та електронному обладнанні, затвердженого постановою Кабінету Міністрів України від 10 березня 2017 р. № 139 (Офіційний вісник України, 2017 р., № 24, ст.683)	- Technical Regulations on the restriction of the use of certain hazardous substances in electrical and electronic hardware approved by Resolution of the Cabinet of Ministers of Ukraine No. 139 dated March 10, 2017 (Official Gazette of Ukraine, 2017, No. 24, Art. 683)
<i>Були застосовані відповідні стандарти з переліку національних стандартів (або технічних специфікацій, стосовно яких</i>	<i>The relevant standards were applied from the list of national standards (or technical specifications for which conformity is declared): DSTU EN 50581: 2014</i>

декларується відповідність): ДСТУ EN 50581:2014	
Залучений призначений орган з оцінки відповідності: <u>ДЕРЖАВНЕ ПІДПРИЄМСТВО «ВСЕУКРАЇНСЬКИЙ ДЕРЖАВНИЙ НАУКОВО-ВИРОБНИЧИЙ ЦЕНТР СТАНДАРТИЗАЦІЇ, МЕТРОЛОГІЇ, СЕРТИФІКАЦІЇ ТА ЗАХИСТУ ПРАВ СПОЖИВАЧІВ» (ДП «УКРМЕТРЕСТСТАНДАРТ»)</u> , номер призначеного органу UA.TR.001	The relevant designated conformity assessment body: <u>“ALL-UKRAINIAN STATE RESEARCH AND PRODUCTION CENTER FOR STANDARDIZATION, METROLOGY, CERTIFICATION AND PROTECTION OF CONSUMER RIGHTS” STATE ENTERPRISE (UKRMETRESTANDARD State Enterprise)</u> , designated body number: <u>UA.TR.001</u>
(найменування, ідентифікаційний номер згідно з реєстром призначених органів)	(name and identification number according to the register of designated bodies)
виконав роботи з контролю технічної документації для оцінювання виробу стосовно небезпечних речовин обмеженого використання та видав висновок: № V.001.25480-17 від 04.10.2017	performed work <i>on technical documentation control for product assessment with respect to restricted hazardous substances, and issued opinion No. V.001.25480-17 dated October 4, 2017</i>
(опис виконаних робіт)	(description of the work performed)
Підписано від імені та за дорученням:	Signed for and on behalf of:
(місце та дата видачі)	(place and date of issue)
	Seagate Technology International 47488 Kato Road Fremont, CA 94538
(посада)	(position) Staff Engineer • Sustainability
(підпис)	(signature) Richard Tan Singapore 2023.10.23 12:08:01 +08'00'
(ініціали та прізвище)	(initials and surname) Richard Tan
М.П.	L.S.
*Відповідність технічної документації національним стандартам підтверджена ДП «УКРМЕТРЕСТСТАНДАРТ»	* Conformity of the technical documentation to national standards has been confirmed by UKRMETTRESSTANDART SE
Висновок: № V.001.25480-17 від 04.10.2017	Opinion No. V.001.25480-17 dated October 4, 2017
Справа № UA.001.D.23277-17 від 04.10.2017	Case No. UA.001.D.23277-17 dated October 4, 2017
(www.ukrtest.kiev.ua)	(www.ukrtest.kiev.ua)
Заступник керівника Органу з сертифікації	Deputy Head of the Certification Authority
В.Д.Рымер	V.D. Rymer
(підпис)	(signature)
М.П.	L.S.
001	001



Seagate Technology LLC,
47488 Kato Rd.,
Fremont, CA 94538

<p>* «У разі якщо суб'єктом господарювання надано висновки експертизи, протоколи випробувань продукції, сертифікати відповідності або інші документи про відповідність, видані за результатами добровільної оцінки відповідності акредитованими органами з оцінки відповідності, органи ринкового нагляду належним чином ураховують ці документи при проведенні перевірок характеристик продукції.»</p>	<p>* "If an economic entity has submitted expert opinions, product test reports, certificates of conformity, or other conformity documents issued on the basis of a voluntary conformity assessment conducted by accredited conformity assessment bodies, the market surveillance authorities shall duly take these documents into consideration when conducting product performance tests."</p>
<p>Частина 13 статті 23 закону України «Про державний ринковий нагляд і контроль нехарчової продукції»</p>	<p>Part 13 of Article 23 of the Law of Ukraine On State Market Surveillance and Control over Non-Food Products</p>



UKCA Declaration of Conformity

Product Safety and EMC Compliance

The product(s) meets the requirements of the Electromagnetic Compatibility Regulation 2016 by application of the following standards:

<u>BS EN 55032:2015</u>	Electromagnetic compatibility of multimedia equipment - Emission requirements, Class B
<u>BS EN 55035:2017</u>	Electromagnetic compatibility of multimedia equipment — Immunity requirements.
<u>BS EN 61000-3-2:2014</u>	Limits for harmonic current emissions (equipment input current ≤ 16 A per phase)
<u>BS EN 61000-3-3:2013</u>	Limitation of Voltage Changes, Voltage Fluctuations and Flicker in Low-Voltage Supply Systems for Equipment with Rated Current ≤ 16 Amps per Phase

The product(s) meets the requirements of the Electrical Equipment (Safety) Regulations 2016 by application of the following standard(s):

BS EN 60950-1:2006 /A2:2013 Information Technology Equipment - Safety- (Second Edition) Part 1: General Requirements

BS EN IEC 62368-1:2014/A11:2017 Audio/video, information and communication technology equipment - Part 1: Safety Requirements (IEC 62368-1:2014, Modified)

BS EN IEC 62368-1:2020+ A11:2020 Audio/video, information and communication technology equipment - Part 1: Safety Requirements.

Product Environmental Compliance, UK RoHS Declaration of Conformity

The Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment Regulations 2012.

BS EN IEC 63000:2018 Technical documentation for the assessment of electrical and electronic products with respect to the restriction of hazardous substances.

Seagate products rely on the following RoHS exemptions for compliance:

13	Lead as an alloying element in steel for machining purposes containing up to 0.35% lead by weight and in batch hot dip galvanized steel components containing up to 0.2% lead by weight
17	Lead as an alloying element in aluminum for machining purposes up to 0.4% lead by weight
18	Copper alloy up to 4.0% lead by weight
19	Lead in high melting temperature type solders (i.e. lead-based solder alloys containing 85 % by weight or more lead)
21	Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors (e.g. piezoelectric devices) or in a glass or ceramic matrix compound

Year to Begin Affixing Mark:	2023
Manufacturer's Name:	Seagate Technology Netherlands B.V. (UK Branch)
Manufacturer's Address:	Jubilee House, Third Avenue, Globe Park Marlow SL7 1EY, UK
Type of Equipment:	Hard Disc Drive
Internal Product Name:	Harrier SAS
Regulatory Model Number:	STL028
Seagate Internal Model Number:	

This product or products are in conformity with the relevant Union harmonization legislation. This declaration of conformity is issued under the sole responsibility of Seagate Technology LLC.

Date: Apr 21, 2023

DocuSigned by:
Ken Allen
Signature: _____
Ken Allen
Vice President of Operations, Products and Technology



ЕВРАЗИЙСКИЙ ЭКОНОМИЧЕСКИЙ СОЮЗ ДЕКЛАРАЦИЯ О СООТВЕТСТВИИ

Заявитель: Общество с ограниченной ответственностью «СТАНДАРТ СЕРТ» (уполномоченное изготовителем лицо, на основании договора № 0906 от 09.06.2022)

Место нахождения: РА, г.Ереван, Аван, Нарекаци 42/45,

Место осуществления деятельности: РА, г.Ереван, Аван, Нарекаци 42/45

Номер государственной регистрации: 282.110.1052305, УНН: 00918006

Телефон: +37493306330, **адрес электронной почты:** info@standartcert.am

В лице директора Наируи Дарбинян

Заявляет, что блоки вычислительных машин, накопители информации, устройства запоминающие на жестких магнитных дисках (Hard Disk Drive) торговой марки SEAGATE, модели: STL024, STL025, STL022, STL023, STL026, STL029, SKR006, SKR007, STL027, STL028, STL030.

Изготовитель: Seagate Technology LLC, место нахождения и место осуществления деятельности: США, 389 Disc Dr. Longmont, CO, 80503 United States

Филиалы изготовителя: места осуществления деятельности по изготовлению продукции - заводы изготовители:

1. GREYSTONE DATA SYSTEMS VIETNAM CO., LTD (GLN: 0763649427590), Вьетнам, LOT 62A, B ROAD, LINH TRUNG EXPORT PROCESSING ZONE II, BINH CHIEU WARD, THU DUC DISTRICT HO CHI MINH CITY VIETNAM.

2. SEAGATE TECHNOLOGY (THAILAND) LTD (GLN: 0763649960738), Тайланд, 90 MOO 15 A SUNGNEON, T SUNGNEON NAKHON RATCHASIMA 30170 THAILAND.

3. SEAGATE TECHNOLOGY INTERNATIONAL (WUXI) CO LTD (GLN: 0763649004975), Китай, NO. 2 XING CHUANG ER LU, ZONE B, EXPORT PROCESSING ZONE WUXI-SINGAPORE INDUSTRIAL PARK WUXI JIANGSU 214028 CHINA.

4. SEAGATE TECHNOLOGY LLC C/O AUDIO VIDEO COLOR CORP (GLN: 0763649771280), США, 17707 S SANTA FE AVE EAST RANCHO DOMINGUEZ CA 90221-5419 UNITED STATES.

5. SEAGATE TECHNOLOGY LLC (GLN: 0763649716953), США, 389 DISC DR LONGMONT, CO 80503-9364 UNITED STATES.

6. TEL-NT BRASIL COMERCIO E EQUIPAMENTOS, Бразилия, TELECOMUNICACOES LTDA RUA ANTONIO FELAMINGO 162 VALINHOS SAO PAULO-13.279-452 BRAZIL.

7. KAIFA TECHNOLOGY MALAYSIA SDN BHD (GLN: 9554000025019), Малайзия, NO. 6, JALAN ISTIMEWA 2 TAMAN PERINDUSTRIAN CEMERLANG ULU TIRAM JOHOR 81800 MALAYSIA.

Код ТН ВЭД ЕАЭС 8471705000, **Серийный выпуск**

Соответствует требованиям

ТР ЕАЭС 037/2016 «Об ограничении применения опасных веществ в изделиях электроники и радиоэлектроники»

Декларация о соответствии принята на основании:

Протокол испытаний: № Q102251872 от 17.07.2023, выдан Nemko USA, Inc.

Схема декларирования 1д

Дополнительная информация:

Условия хранения и эксплуатации указаны в эксплуатационной документации. Действие декларации распространяется на серийно выпускаемую продукцию, изготовленную не ранее 06.2023 - даты изготовления образцов, прошедших испытания. Перечень стандартов, в результате применения которых на добровольной основе обеспечивается соблюдение требований технического регламента: ГОСТ EN 50581-2016 «Техническая документация для оценки электрических и электронных изделий относительно ограничения использования опасных веществ».

Декларация о соответствии действительна с даты регистрации по 01.08.2028г. включительно



Н. С. Дарбинян

(Ф.И.О. заявителя)

Регистрационный номер декларации о соответствии: ЕАЭС № АМ-016/С.В-0447-2023

Дата регистрации декларации о соответствии: 02.08.2023г.